

Information Sheet

concerning the use of automated trading in trading organized by TGE S.A. on the Commodity Market, with respect to each algorithm

Note: The completed Information Sheet should be sent by email to the Market Surveillance Department of Towarowa Gielda Energii S.A., to bnr@tge.pl (scanned document) or by mail to the postal address of Towarowa Gielda Energii S.A., Market Surveillance Department, ul. Książęca 4, 00-498 Warszawa, with a note: "Protected information: special protection level, to be delivered directly to an employee of the Market Surveillance Department"

.....
(name of the Exchange Member)

No.	Elements of the algorithm	Description of the element concerned	Comments
1.	Short code		
2.	Definition of the objective to be achieved with the algorithm in optimising trading performance ¹		
3.	List of instrument series for which the algorithm will be used		
4.	Maximum price ranges of the orders to be entered and the minimum price step, for each series of the instruments indicated in item 3 ²		
5.	Maximum volume of contracts per order for each series of the instruments indicated in item 3		
6.	Maximum number of orders per second to be sent for each series of the instruments indicated in item 3		
7.	Conditions triggering the entry of an order to the order book,		

¹ E.g. participation in a SIDC auction, spread trading on SIDC or between SPOT markets.

² When the price/transaction limits are different, please specify for each instrument series.



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	depending on the objective indicated item 2 ³		
8.	Rules for handling an order on the order sheet, including those determining the conclusion of a transaction ⁴		

☐ I declare that all information contained in this Information Sheet is true and accurate.

☐ Furthermore, the Exchange Member represents that:

1. The Exchange Member has implemented risk control mechanisms that prevent the entry of any order and/or the conclusion of any transaction that may constitute or contribute to disorderly market and violations of the provisions of Regulation (EU) No 1227/2011 (REMIT).
2. The Exchange Member keeps the records of orders placed, arranged chronologically, including cancelled and executed orders.

.....
(signature of the authorised person)

Undertaking

/name of the Exchange Member/ undertakes - after each change of the algorithm structure involving the elements mentioned in items 2, 3, 7, 8 of this Sheet, prior to their application, to promptly present an updated Information Sheet to the Market Surveillance Department of Towarowa Giełda Energii S.A., by email to bnr@tge.pl or by mail to Towarowa Giełda Energii S.A., Market Surveillance Department, ul. Książęca 4, 00-498 Warszawa, with a note: "Protected information: special protection level, to be delivered directly to an employee of the Market Surveillance Department"

.....
(signature of the authorised person)

/date /

³ A list, e.g.: participation in an additional auction, order/transaction price limit, order/transaction volume, value of trades, share in the trading volume of the session or other parameters not directly related to trading on the CM.

⁴ A list, e.g. change of bid/ask prices on the CM, a list of other messages affecting the orders entered to the order sheet.



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INFORMATION CLAUSE

Information concerning the processing of personal data by Towarowa Giełda Energii S.A. in connection with the requirements of Articles 13 and 14 of Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data, and repealing Directive 95/46/EC (General Data Protection Regulation)(hereinafter "GDPR").

The controller of the data of the persons authorized to represent the entity and the persons indicated as business contacts is Towarowa Giełda Energii S.A. (TGE), ul. Książęca 4, 00-498 Warszawa, phone: +48 22 341 99 12, tge@tge.pl.

The data controller has appointed a personal data protection officer who can be contacted at daneosobowe@tge.pl

The scope of personal data to be processed by TGE includes the name and surname, business e-mail address, business telephone number and position, and in case of persons authorized to represent the entity – the name, surname, position and data contained in the current excerpt from the relevant register, or the data contained in the power of attorney.

The personal data of persons authorised to represent the entity and the data of persons indicated as business contacts will be processed by TGE primarily for the purpose to ISSUE A CERTIFICATE CONSTITUTING THE CONSENT TO AUTOMATIC TRADE USING THE REPORTED ALGORITHM according to Article 6(1)(f) GDPR, i.e. on the basis of a legitimate interest of the controller which is to verify the correct representation of the entity in connection with a declaration of will being made, exchanging communication in connection with the conclusion or performance of a contract, maintaining and developing business relations, or asserting, pursuing or defend oneself against possible claims.

The recipients of the data may include entities engaged in the processing of personal data on behalf of TGE in connection with the services provided to TGE, e.g. consulting and IT services.

The personal data will be processed during the term of the agreement between TGE and the counterparty, and then stored for the time required to finalise settlements and to assert, pursue or defend any claims.

Every person has the right to request access to, rectification, erasure, restriction of processing and the transfer of his or her personal data.

Every person has the right to object to the processing of personal data to the extent that the processing of personal takes place on the basis of a legitimate interest of the Controller.

Every person has the right to lodge a complaint against the processing of his/her data with the President of the Personal Data Protection Office.

The indication of persons authorised to represent the entity is required as a condition to the execution of the contract. The provision of the data of persons indicated as business contacts is voluntary but a failure to provide such data will impede communication and contact with the counterparty in connection with the contract.

Any inquiries or requests related to the processing of personal data by TGE should be sent to the following e-mail address: daneosobowe@tge.pl.

I confirm that I have read the above Information Clause:

.....
/date, stamp/